

In the United States Patent and Trademark Office

Applicants: Laura L. Elsberg et al. Docket No.: 15,497
Serial No.: 09/465,853 Group: 3761
Confirmation No: 9404 Examiner: J. Webb
Filed: December 17, 1999 Date: January 14, 2003
For: DISPOSABLE ABSORBENT ARTICLES HAVING A FIT PANEL AND AN
INTEGRATED WAIST ADJUSTMENT MECHANISM

Box DAC
Assistant Commissioner for Patents
Washington, D.C. 20231

**PETITION FOR REVIVAL OF AN APPLICATION
FOR PATENT ABANDONED UNINTENTIONALLY UNDER
37 C.F.R. § 1.137(b)**

1. This application became abandoned on May 17, 2002.
2. This application became abandoned because the failure to prosecute was an unintentional delay. The entire delay in filing the required reply from the due date until the filing of this petition was unintentional 37 C.F.R. § 1.137(b)(3).
3. Response or action required
☐ has been filed.
☒ is attached.
4. Fee (37 C.F.R. 1.17(m))
Application status is:
☐ Small business entity--fee \$620.00
☐ A statement is attached.
☐ A statement was filed.
☒ Other than small entity--fee \$1,300.00

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PETITIONS OFFICE

5. Payment of fee

01/30/2003 HLE555 00000009 110875 09465853

01 FC:1453 1300.00 CH

☒ Authorization is hereby made to charge the amount of

☐ \$620.00 ☒ \$1,300.00

☒ to Deposit Account No. 11-0875

☐ to Credit Card as shown on the attached credit information authorization form PTO-2038.

A duplicate of this petition is attached.

15,497

- ☒ Because this petition is more than 3 months after the date the Office first notified the applicant that the application is abandoned, applicant additionally submits a showing as to how the delay between the date the applicant was first notified that the application is abandoned and the filing of this petition under 37 C.F.R. § 1.134(b) was unintentional. 62 Fed. Reg. 53,131, 53,159 (Oct. 10, 1997).
- ☐ Because this petition is more than 1 year after the date of abandonment of the application, applicant additionally submits further information as to when applicant (or applicant's representative) first became aware of the abandonment of the application, and a showing as to how the delay in discovering the abandoned status of the application occurred despite the exercise of due care or diligence on the part of the applicant (or applicant's representative). 62 Fed. Reg. 53,131, 53,159 (Oct. 10, 1997).

SIGNATURE OF PERSON MAKING STATEMENT THAT
ABANDONMENT WAS DUE TO AN UNINTENTIONAL DELAY

Date: January 14, 2003

Alyssa A. Dudkowski
Alyssa A. Dudkowski

Kimberly-Clark Worldwide, Inc.
401 North Lake Street
Neenah, WI 54956

SIGNATURE OF PRACTITIONER

Date: January 14, 2003

Alyssa A. Dudkowski
Alyssa A. Dudkowski

Reg. No.: 40,596
Tel. No.: (920) 721-2433
Kimberly-Clark Worldwide, Inc.
401 North Lake Street
Neenah, WI 54956

PART B—ISSUE FEE TRANSMITTAL

Complete and mail this form, together with applicable fees, to:

Box ISSUE FEE
Assistant Commissioner for Patents
Washington, D.C. 20231

MAILING INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE. Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Issue Fee Receipt, the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

JEFFREY B CURTIN
KIMBERLY-CLARK WORLDWIDE INC
401 NORTH LAKE STREET
NEENAH WI 54956

QM12/0919

11-6-01

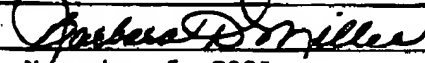
Note: The certificate of mailing below can only be used for domestic mailings of the Issue Fee Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing.

Certificate of Mailing

I hereby certify that this Issue Fee Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above on the date indicated below.

Barbara D. Miller

(Depositor's name)



(Signature)

November 6, 2001

(Date)

APPLICATION NO.	FILING DATE	TOTAL CLAIMS	EXAMINER AND GROUP ART UNIT	DATE MAILED
09/465,853	12/17/99	044	WEBB, J	3761 09/19/01
First Named Applicant	ELSBERG,	35 USC 154(b) term ext. = 0 Days.		

TITLE OF INVENTION: DISPOSABLE ABSORBENT ARTICLES HAVING A STRECH PANEL AND AN INTEGRATED WAIST SIZE ADJUSTMENT MECHANISM

ATTYS DOCKET NO.	CLASS-SUBCLASS	BATCH NO.	APPLN. TYPE	SMALL ENTITY	FEE DUE	DATE DUE
0 15497	604-386.000	M16	UTILITY	NO	\$1240.00	12/19/01

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Use of PTO form(s) and Customer Number are recommended, but not required.

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47) attached.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Jeffrey B Curtin

2

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)
PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the PTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Kimberly-Clark Worldwide

(B) RESIDENCE: (CITY & STATE OR COUNTRY)

Neenah, Wisconsin 54956

Please check the appropriate assignee category indicated below (will not be printed on the patent)

☐ Individual ☒ corporation or other private group entity ☐ government

4a. The following fees are enclosed (make check payable to Commissioner of Patents and Trademarks):

☐ Issue Fee☐ Advance Order - # of Copies

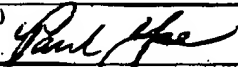
4b. The following fees or deficiency in these fees should be charged to:

DEPOSIT ACCOUNT NUMBER 11-0875
(ENCLOSE AN EXTRA COPY OF THIS FORM)

☒ Issue Fee☒ Advance Order - # of Copies 1

The COMMISSIONER OF PATENTS AND TRADEMARKS IS requested to apply the Issue Fee to the application identified above.

(Authorized Signature)



(Date)

6 Nov 01

NOTE: The Issue Fee will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the Patent and Trademark Office.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending on the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND FEES AND THIS FORM TO: Box Issue Fee, Assistant Commissioner for Patents, Washington D.C. 20231

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TRANSMIT THIS FORM WITH FEE

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03/07/2003 RJHNS
01 FC:1501
02 FC:1801



Kimberly-Clark Corporation
Neenah, Wisconsin
401 N. Lake Street
Neenah, Wisconsin 54956

Facsimile

	Name	Company	Fax Number
To:	Tom Hawkins	USPTO	703-305-4372
Subject:	Application Number 09/465,853 filed December 17, 1999 of Laura L. Elsberg et al.; KC Docket Number 15,497 "Disposable Absorbent Articles Having A Fit Panel And An Integrated Waist Adjustment Mechanism"		

From:	Alyssa A. Dudkowski	Page:	1 of 6
Dept:	Legal Department	Date:	July 9, 2002
Loc:	Neenah, Wisconsin	Time:	12:49 PM

Mr. Hawkins,

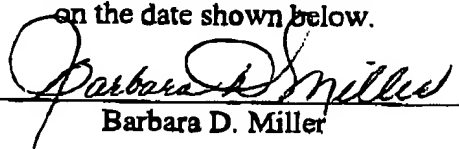
In response to a Notice of Abandonment, following documents are being faxed to you:

Request For Withdrawal of Abandonment – PTO Has No Evidence That
Mailed Correspondence Received
Statement Attesting To Mailing of PTO Correspondence Under 37CFR§1.8(a)
Copy of Issue Fee Transmittal Mailed November 6, 2001
Copy of PC Master v3.04 Showing Receipt of Postcard 1/9/02

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JAN 14 2003
PETITIONS OFFICE

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that these papers are being transmitted by facsimile to the Patent and Trademark Office
on the date shown below.


Barbara D. Miller

July 9, 2002
Date

This facsimile is for the use of the addressee only and may contain privileged or confidential information that is exempt from disclosure under law. If you are not the addressee or responsible for delivering it to him or her, please know that dissemination, distribution, or copying of this facsimile is prohibited. If you have received this in error, please telephone us promptly and destroy the original.

If you have a problem with or a question about this facsimile, contact:

Name: Barbara Miller

Fax: 920-721-3129

Phone: 920-721-2414

Practitioner's Docket No. 15.497

PATENT *

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Laura L. Elsberg et al. Date: July 9, 2002
Application No.: 09/465,853 Group No.: 3761
Filed: December 17, 1999 Examiner: J. Webb
For: DISPOSABLE ABSORBENT ARTICLES HAVING A FIT PANEL
AND AN INTEGRATED WAIST ADJUSTMENT MECHANISM

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Assistant Commissioner for Patents
Washington, D.C. 20231

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**REQUEST FOR WITHDRAWAL OF ABANDONMENT-- PTO HAS NO EVIDENCE
THAT MAILED CORRESPONDENCE RECEIVED**

NOTE: 37 C.F.R. § 1.8(b) states:

(b) In the event that correspondence is considered timely filed by being mailed ... in accordance with paragraph (a) of this section, but not received in the Patent and Trademark Office, and the application is held to be abandoned or the proceeding is dismissed, terminated, or decided with prejudice, the correspondence will be considered timely if the party who forwarded such correspondence:

- (1) Informs the Office of the previous mailing ... of the correspondence promptly after becoming aware that the Office has no evidence of receipt of the correspondence;
- (2) Supplies an additional copy of the previously mailed ... correspondence and certificate; and
- (3) Includes a statement which attests on a personal knowledge basis or to the satisfaction of the Commissioner to the previous timely mailing...

REQUEST

1. Applicant requests that the abandonment in this case be withdrawn.

PROMPTNESS OF THIS SUBMISSION

This information is being submitted promptly after applicant has learned of the abandonment on the basis of:

- ☒ the Notice of Abandonment mailed by the PTO on May 17, 2002
☐ applicant's own procedures in monitoring the progress of this case.

SUBMISSION**2. Submitted herewith is:**

(check and complete each appropriate item below)

☒ A copy of the page of the response mailed on November 6, 2001, showing a Certificate of Mailing executed on November 6, 2001.

☒ A copy of the Kimberly-Clark's PCMaster v3.04 – Master Data Center print screen indicating the USPTO postcard receipt stamp dated January 9, 2002. (Postcard has been separated from the file).

NOTE: "A post card receipt which itemizes and properly identifies the papers which are being filed serves as prima facie evidence of receipt in the PTO of all the items listed thereon on the date stamped thereon by the PTO." § 503, M.P.E.P., 7th Edition.

☒ A copy of the complete response previously filed (i.e., the Issue Fee Transmittal).

☐ A copy of the cancelled check(s) referring to the response identified above.

☐ A copy of the attorney's Deposit Account Statement, in which the item corresponding to the response referred to above is noted.

STATEMENT**3. Attached hereto is a statement attesting to the timely transmission of the correspondence referred to above based on:**

☒ personal knowledge

☐ a showing believed to be satisfactory to the Commissioner

4. Please proceed with further examination of this application on the basis of:

☐ The original papers filed, which have now reached the appropriate area of the PTO.

AND/OR

☒ The attached copy of the papers originally filed.

REQUEST FOR WITHDRAWAL OF ABANDONMENT**5. Acknowledgement of the active status of this application is respectfully requested.**

Alyssa A. Dudkowski

Alyssa A. Dudkowski, Reg. No.: 40,596

Tel. No.: (920) 721-2433

401 N. Lake Street

Neenah, WI 54957-0349

Customer No.: 23556

FORM 8-2.1 Statement Attesting to Mailing of PTO Correspondence under 37 C.F.R. § 1.8(a)

Practitioner's Docket No. 15,497

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Laura L. Elsberg et al.

Application No.: 09/465,853

Group No.: 3761

Filed: December 17, 1999

Examiner: J. Webb

For: DISPOSABLE ABSORBENT ARTICLES HAVING A FIT PANEL AND AN
INTEGRATED WAIST ADJUSTMENT MECHANISM

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Assistant Commissioner for Patents
Washington, D.C. 20231**STATEMENT ATTESTING TO MAILING OF P.T.O. CORRESPONDENCE UNDER 37 C.F.R. § 1.8(a)**

I state that on November 6, 2001, I mailed the original of the attached copy of

Issue Fee Transmittal

Dated November 6, 2001

and Certificate of Mailing by first class mail, with sufficient postage, in an envelope addressed to the
Assistant Commissioner for Patents, Washington, D.C. 20231.
Barbara D. Miller

Date: July 9, 2002

Complete and mail this form, together with applicable fees, to: **Box ISSUE FEE**
Assistant Commissioner for Patents
Washington, D.C. 20231

MAILING INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE. Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Issue Fee Receipt, the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

JEFFREY B CURTIN
 KIMBERLY-CLARK WORLDWIDE INC
 401 NORTH LAKE STREET
 NEENAH WI 54956

QM12/0919

Note: The certificate of mailing below can only be used for domestic mailings of the Issue Fee Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing.

Certificate of Mailing

I hereby certify that this Issue Fee Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above on the date indicated below.

Barbara D. Miller

(Depositor's name)

Barbara D. Miller

(Signature)

November 6, 2001

(Date)

APPLICATION NO.	FILING DATE	TOTAL CLAIMS	EXAMINER AND GROUP ART UNIT	DATE MAILED
09/465,853	12/17/99	044	WEBB, J	3761 09/19/01
First Named Applicant	ELSBERG,	35 USC 154(b) term ext. = 0 Days.		

TITLE OF INVENTION **DISPOSABLE ABSORBENT ARTICLES HAVING A STRETCH PANEL AND AN INTEGRATED WAIST SIZE ADJUSTMENT MECHANISM**

ATTY'S DOCKET NO.	CLASS-SUBCLASS	BATCH NO.	APPLN. TYPE	SMALL ENTITY	FEE DUE	DATE DUE
0 15497	604-386.000	M16	UTILITY	NO	\$1240.00	12/19/01

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Use of PTO form(s) and Customer Number are recommended, but not required.

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47) attached.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Jeffrey B Curtin

2. _____

3. _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)
PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the PTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Kimberly-Clark Worldwide

(B) RESIDENCE: (CITY & STATE OR COUNTRY)

Neenah, Wisconsin 54956

Please check the appropriate assignee category indicated below (will not be printed on the patent)

... individual ☒ corporation or other private group entity ☐ government

4a. The following fees are enclosed (make check payable to Commissioner of Patents and Trademarks):

☐ Issue Fee

☐ Advance Order - # of Copies _____

4b. The following fees or deficiency in these fees should be charged to:

DEPOSIT ACCOUNT NUMBER 11-0875

(ENCLOSE AN EXTRA COPY OF THIS FORM)

☒ Issue Fee

☒ Advance Order - # of Copies 1

The COMMISSIONER OF PATENTS AND TRADEMARKS IS requested to apply the Issue Fee to the application identified above.

(Authorized Signature)

Paul J. Lee

(Date)

6 Nov 01

NOTE: The Issue Fee will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the Patent and Trademark Office.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending on the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND FEES AND THIS FORM TO: Box Issue Fee, Assistant Commissioner for Patents, Washington D.C. 20231

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TRANSMIT THIS FORM WITH FEE

PCMaster v3.01 - Master Data Center - [Patent]

DocId: 15437
 Country: United States
 Case Type: Regular
 Violation Type: CPA-Continuing Prosecution Appl
 Filing Type: National
 Filing Number:

Agency: DUDKOWSKI AA
 Parent Division: INFANT CARE
 Child Division: INFANT CARE
 Parent Office: KIMBERLY-CLARK WORLDWIDE
 Remarks:

DISPOSABLE ABSORBENT ARTICLES HAVING A STRETCH PANEL AND AN INTEGRATED WAIST SIZE

Employee	Inventor	Assignee	Title	Text
Filing Data	Related Reg. Info	CNA	Actions	Description
Action	Action Due Date	Taken Date	Completed Date	Action Notes
Issue Fee Due	19DE2001		09JA2002	
Issue Check	09AF2002			

Created: 06SE2001 Updated: 06FE2002 8:19 AM By: JRB

Start | Inbox - Micr... | PCMaster v... | Information... | Address Book |

**Kimberly-Clark Corporation**

Facsimile

Kimberly-Clark Worldwide, Inc.
401 North Lake St.
Neenah, WI 54956

	Name	Company	Fax Number
To:	Office of Petitions	USPTO	703-308-6916
Subject:	Application No. 09/465,853 for "Disposable Absorbent Articles Having A Fit Panel And An Integrated Waist Adjustment Mechanism" K-C Docket No. 15,497		

From:	Alyssa A. Dudkowski	Page:	1 of 21
Dept:	Legal Department	Date:	January 14, 2003
Loc:	Kimberly-Clark Worldwide, Inc.		

CERTIFICATION OF FACSIMILE TRANSMISSION

I HEREBY CERTIFY THAT THE FOLLOWING PAPERS ARE BEING
FACSIMILE TRANSMITTED TO THE PATENT AND TRADEMARK OFFICE
ON THE DATE SHOWN BELOW:

**Petition for Revival of an Application for Patent Abandoned
Unintentionally Under 37 C.F.R. § 1.137(b)**

Declaration of Facts in Support of Petition**FAX RECEIVED**

JAN 14 2003

Cynthia M. Trudell

PETITIONS OFFICE

TYPE OR PRINT NAME OF PERSON SIGNING CERTIFICATE

Cynthia M. Trudell Jan. 14, 2003

SIGNATURE

DATE

This facsimile is for the use of the addressee only and may contain privileged or confidential information that is exempt from disclosure under law. If you are not the addressee or responsible for delivering it to him or her, please know that dissemination, distribution, or copying of this facsimile is prohibited. If you have received this in error, please telephone us promptly and destroy the original.

If you have a problem with or a question about this facsimile, contact:

Name: Cindy M. Trudell**Fax: 920-721-3129****Phone: 920-721-2779**

In the United States Patent and Trademark Office

Applicants:	Laura L. Elsberg et al.	Docket No.:	15497
Serial No.:	09/465,853	Group:	3761
Confirmation No:	9404	Examiner:	J. Webb
Filed:	December 17, 1999	Date:	January 14, 2003
For:	DISPOSABLE ABSORBENT ARTICLES HAVING A FIT PANEL AND AN INTEGRATED WAIST ADJUSTMENT MECHANISM		

**DECLARATION OF FACTS IN SUPPORT OF
PETITION UNDER 37 C.F.R. §1.137(b)**

This declaration is made as to facts which are relied upon in support of Applicants' PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY UNDER 37 C.F.R. §1.137 (b).

This declaration is being made by a person having first-hand knowledge of the facts recited herein.

Name of declarant:

Alyssa A. Dudkowski

Address of declarant:

Kimberly-Clark Worldwide, Inc.
401 North Lake Street
Neenah, Wisconsin 54956

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JAN 14 2003

PETITIONS OFFICE

I declare the following:

1. I am in-house patent counsel for Kimberly-Clark Worldwide, Inc., assignee of the present application.

2. The present application was filed on December 17, 1999 and assigned Serial No. 08/465,853. A Notice of Allowance was mailed on September 19, 2001. Consequently, the Issue Fee was due on December 19, 2001.

3. Applicants submitted Part B of the Issue Fee Transmittal (PTOL-85B) on November 6, 2001. As part of that submission, Applicants authorized that the Issue Fee be charged to Deposit Account Number 11-0875. A copy of Part B of the Issue Fee Transmittal and a copy of the return postcard dated January 9, 2002 are attached as Exhibit A to this Declaration.

15,497

4. A Notice of Abandonment (Paper 19) was mailed on May 17, 2002. The Notice stated that the application was abandoned because of: "Applicants failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance (PTOL-85). ... The issue fee and publication fee, if applicable, has not been received."

5. On July 9, 2002, Applicants filed a Request for Withdrawal of Abandonment - PTO Has No Evidence that Mailed Correspondence Received along with a Statement Attesting to Mailing of PTO Correspondence Under 37 CFR §1.8(a); copies of these documents are attached as Exhibit B to this Declaration.

6. Applicants received a Decision on Petition (Paper No. 21) from the PTO on December 26, 2002. In the Decision, the Examiner indicates that Applicants' Request for Withdrawal of Abandonment was being treated as a Petition to Withdraw the Holding of Abandonment Under 37 CFR §1.181(a). The Petition was dismissed. The Decision indicated that "when an attempt was made by the Office of Finance to charge the fees on January 15, 2002, the fees were not recorded due to insufficient funds in Deposit Account No. 11-0875." A copy of the Decision is attached as Exhibit C to this Declaration.

7. Upon inquiry into the circumstances surrounding the depletion of KCWW's Deposit Account, I learned that Kimberly-Clark's Accounts Payable department sent a check to the PTO to replenish the Deposit Account, but when the check was sent, the mailing address accidentally included the name of a Kimberly-Clark employee. No such person was employed by the PTO and the check was returned. Hence, there was a period of time during which it was necessary to re-send the check- properly addressed- to the PTO.

8. While I do not have responsibility for ensuring that Kimberly-Clark's Deposit Account always contains the necessary funds, it was my intention that the Issue Fee be paid when PTOL-85 was mailed on November 6, 2001. I was not aware that the Deposit Account was or had become depleted at any time between November 6, 2001 and January 15, 2002. Therefore, the eventual abandonment of the application was unintentional.

9. A combination of a high volume of activity on the Deposit Account and the error in the mailing address resulted in the Deposit Account being low on January 15, 2002 when the PTO attempted to charge the fees.

10. As the responsible attorney for the present application, I have attempted to respond promptly and without delay to notices received from the PTO.

15,497

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: January 14, 2003 Declarant: Alyssa A. Dudkowski
Alyssa A. Dudkowski

Exhibit A

AAD

Inventor(s) Laura L. Elsberg, et al.
Serial No. 09/465,853 Docket No. 15,497
Date Filed December 17, 1999 Attorney AAD
Title DISPOSABLE ABSORBENT ARTICLES HAVING A STRETCH PANEL
AND AN INTEGRATED WAIST SIZE ADJUSTMENT MECHANISM

- () Amendment (in response to O.A. mailed _____)
() Extension of Time
(X) Issue Fee () Appeal Brief
() PTO 1595/Assignment () CPA Request Transmittal
() Formal Drawings () Information Disclosure Statement
() Notice of Appeal () Missing Parts of Application

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JAN 14 2003

PETITIONS OFFICE



The stamp of the U.S. Patent and Trademark Office
thereon indicates receipt of the items indicated above.

1-21-02
B

Exhibit B

** TX STATUS REPORT **

AS OF JUL 09 2002 14:50 PAGE.01

KC LEGAL DEPT

	DATE TIME	TO/FROM	MODE	MIN/SEC	PGS	JOB#	STATUS
24	07/09 14:49	703 305 4372	EC--S	00'59"	006	026	OK



Kimberly-Clark Corporation
Neenah, Wisconsin
401 N. Lake Street
Neenah, Wisconsin 54956

Facsimile

	Name	Company	Fax Number
To:	Tom Hawkins	USPTO	703-305-4372
Subject:	Application Number 09/465,853 filed December 17, 1999 of Laura L. Elsberg et al.; KC Docket Number 15,497 "Disposable Absorbent Articles Having A Fit Panel And An Integrated Waist Adjustment Mechanism"		

From:	Alyssa A. Dudkowski	Page:	1 of 6
Dept:	Legal Department	Date:	July 9, 2002
Loc:	Neenah, Wisconsin	Time:	12:49 PM

Mr. Hawkins,

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Copy of Issue Fee Transmittal Mailed November 6, 2001
Copy of PC Master v3.04 Showing Receipt of Postcard 1/9/02

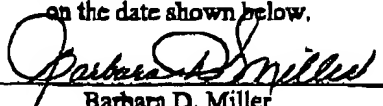
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PETITIONS OFFICE

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that these papers are being transmitted by facsimile to the Patent and Trademark Office
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Barbara D. Miller

July 9, 2002
Date

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Exhibit C



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401 NORTH LAKE STREET
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DEC 18 2002

Director's Office
Office of Patent Publication

In re Application of :
Lara Linda Elsberg, et al. :
Application No. 09/465,853 :
Filed: December 17, 1999 :
Attorney Docket No. 15497 :

DECISION ON PETITION

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PETITIONS OFFICE

This is a decision on the Request for Withdrawal of Abandonment, received via facsimile transmission on July 9, 2002, which is being treated as a Petition to Withdraw the Holding of Abandonment Under 37 CFR 1.181 (a), for the above-identified application.

The petition is **DISMISSED**.

Any request for reconsideration of this decision must be submitted within TWO (2) MONTHS from the mail date of this decision. Extensions of time under 37 CFR 1.136(a) are permitted. The reconsideration request should include a cover letter entitled "Renewed Petition for Withdrawal of Holding of Abandonment."

This application was held abandoned for failure to pay the issue fee on or before the due date of December 19, 2001.

As evidence of the timely submission of the Issue Fee, petitioner has submitted a copy of the Issue Fee Transmittal, with a Certificate of Mailing dated November 6, 2001, and a statement attesting to the timely filing of the Issue Fee on November 6, 2001.

The PTO acknowledges receipt of the Issue Fee Transmittal on January 9, 2002. Unfortunately, when an attempt was made by the Office of Finance to charge the fees on January 15, 2002, the fees were not recorded due to insufficient funds in Deposit Account No. 11-0875. The petitioner therefore has not complied with 37 CFR 1.25(b), which states in part:

... An authorization to charge a fee to a deposit account will not be considered payment of the fee on the date the authorization to charge the fee is effective as to the particular fee to be charged unless sufficient funds are present in the account to cover the fee.

Application No. 09/465,853

Page 2

In light of the non-compliance with 37 CFR 1.25(b), the holding of abandonment cannot at this time be withdrawn.

The petitioner should consider petitioning the Commissioner as follows:

File a petition for Revival of Abandoned Application or Lapsed Patent under CFR § 1.137 (a) or (b). The new rule change appears in 62 Federal Regulations, October 10, 1997 or (a) 1203 of the Official Gazette 63, October 21, 1997.

- Under 37 CFR 1.137(a), a petition for the revival of an *unavoidably* abandoned application must include the following:

- (1) The reply required to the outstanding Office action or notice, unless previously filed.
- (2) The petition fee set forth in § 1.17(l);
- (3) A showing to the satisfaction of the Commissioner that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to this paragraph was unavoidable; and
- (4) Any terminal disclaimer (and fee as set forth in § 1.20(d) required pursuant to paragraph (d) of this section.

With respect to an application filed before June 8, 1995, any petition under § 1.137(a) that is filed more than six months after the abandonment date must—according to § 1.137(c)—be accompanied by a terminal disclaimer with disclaimer fee.

- Under 37 CFR 1.137^b(a), a petition for the revival of an *unintentionally* abandoned application must include the following:

- (1) The reply required to the outstanding Office action or notice, unless previously filed.
- (2) The petition fee as set forth in § 1.17(m);
- (3) A statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to this paragraph was unintentional. The Commissioner may require additional information where there is a question whether the delay was unintentional;
- (4) Any terminal disclaimer (and fee as set forth in § 1.20(d) required pursuant to paragraph (d) of this section.

Application No. 09/465,853

Page 3

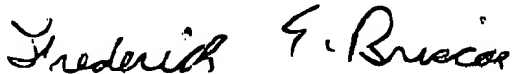
Further correspondence with respect to this matter should be addressed as follows:

By mail: Assistant Commissioner for Patents
Box DAC
Washington, DC 20231

By facsimile: 703-308-6916
Attn.: Office of Petitions

By hand: Crystal Plaza Four, Rm. 3C23
2201 South Park Place
Arlington, VA

Telephone inquires concerning this matter may be directed to the Office of Petitions at 703-305-9282.



Frederick E. Briscoe
Legal Instruments Examiner
Office of the Director
Office of Patent Publication